



*Make it Right today, Better tomorrow*

**Company Background:**

Greeting! **ComplianceOne Consulting Limited** ("ComplianceOne") is one of the fastest growing external compliance consulting firms in Hong Kong. In the past seven years, we have accumulated dozens of successful licensing cases under the regulatory regime of SFO and more than one hundred successful Money Service Operators (MSO) new license and license renewal cases. With an elite team of ten full-time team members, we provide a board range of compliance services to different financial institutions in Hong Kong. You are also welcome to visit our website ([www.complianceone.hk](http://www.complianceone.hk)) for more information about us.

Currently, we are looking for experienced **Compliance Consultants** to join our young and energetic team.

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**Key Responsibilities:**

- Handle licensing matters under the regime of the SFO
- Provide advisory and consultancy service to clients of Ongoing Compliance Support Service
- Handle client's inquiries about compliance matters
- Review and prepare operational procedures, policies, and other documents
- Review FRR submission for clients
- Review BRMQ and other questionnaires to be submitted to the SFC
- Provide CPT Training sessions to clients
- Advise to the clients on SFC inspection

- Handle any other ad-hoc duties as required.

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**Requirements:**

- Degree holder in Law, Finance, Economics, Accounting or related discipline;
- Advanced Studies in compliance / AML field is expected;
- Deep understanding of the financial market in Hong Kong;
- Minimum of 3-5 years working experience as compliance officer/manager at in-house compliance department of licensed corporations or at external consultancy firms;
- Good knowledge in using WINGS 2.0;
- Experience in dealing with the SFC, HKEx, or other local/overseas regulator, internal/external review and audit experience of licensed corporation are a great advantage;
- Proficiency in both spoken and written English and Chinese;
- Self-motivated, hardworking, independent and meticulous.
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**Remuneration Packages:**

- Very attractive package to qualified candidate is offered;
- Year-end Discretionary Bonus based on Business Performance;
- Education Allowance will be provided to enthusiastic employee;
- Group Medical Insurance with dental care;
- 5 days a week, with at least 14 days annual leave;
- Fitness membership

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**How to Apply:**

Please send your latest CV with (1) Current salary (2) Expected salary and (3) Availability to us by email: [recruit@complianceone.hk](mailto:recruit@complianceone.hk)