



Make it Right today, Better tomorrow

Company Background:

Greeting! **ComplianceOne Consulting Limited** ("ComplianceOne") is one of the fastest growing external compliance consulting firms in Hong Kong. In the past seven years, we have accumulated dozens of successful licensing cases under the regulatory regime of SFO and more than one hundred successful Money Service Operators (MSO) new license and license renewal cases. With an elite team of ten full-time team members, we provide a board range of compliance services to different financial institutions in Hong Kong. You are also welcome to visit our website (www.complianceone.hk) for more information about us.

Currently, we are looking for a young and energetic members with a thirst for knowledge to join our professional team as a **Compliance Consultant Assistant / Associate Consultant**. You will be reporting to our Senior Compliance Consultants directly.

Highlight:

- Join the elite team of a reputable compliance firm;
- Extensive opportunity to learn the regulatory compliance field;
- Young professionals with thirst for knowledge and success are welcome;

Key Responsibilities:

- Handle SFC licensing matters.
- Provide advisory and consultancy service to clients who have engaged for our Ongoing Compliance Support Service.

- Review and prepare operational procedures, policies, manuals, and other documents.

- Handle any other ad-hoc duties as required.

Requirements:

- Degree holder in Business Administration, Finance, Economics, Accounting, Law or related discipline.

- Advanced studies in Compliance / Corporate Governance / AML field is preferred;

- Basic understanding of HKSI Licensing Examination (Paper 1, 2, 6, 7, 8, & 12), SFC Code of Conduct, SFC Licensing Handbook, Fit and Proper Guideline, AML Guideline, and etc.

- Basic understanding of the financial market in Hong Kong;

- Minimum of 1-2 years working experience as in-house compliance officer or similar role at financial institutions, or as external compliance consultant at consultancy firms;

- Experience in dealing with the SFC, HKEx, HKMA, C&E Department, Insurance Authority or other local/overseas regulator will be of great advantage;

- Proficiency in both spoken and written English and Chinese;

- Smart, energetic, thirst for knowledge, strive for success, self-motivated, hardworking, and meticulous.

Remuneration Packages:

- Very attractive package to qualified candidate is offered;

- Year-end Discretionary Bonus based on Business Performance;

- Education Allowance will be provided to enthusiastic employee;

- Group Medical Insurance with dental care;

- 5 days a week, with at least 12+ days annual leave;

- Fitness membership

How to Apply:

Please send your latest CV with (1) Current salary (2) Expected salary and (3)

Availability to us by email: recruit@complianceone.hk